

EXPRESS MAIL MAILING LABEL

No. EM443447896US

COPY

Attorney's Docket No. H0498/7015

Applicants: Jack L. Strominger and Kai W. Wucherpennig

Serial No.: 08/400,796

Filed: March 7, 1995

IDENTIFICATION OF SELF AND NON-SELF ANTIGENS IMPLICATED IN
AUTOIMMUNE DISEASE



DECLARATION CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(e) and 1.27(d) - NONPROFIT ORGANIZATION)

I hereby declare that I am an official empowered to act on behalf of the
nonprofit organization identified below:

NAME OF ORGANIZATION: President and Fellows of Harvard College
ADDRESS OF ORGANIZATION: 124 Mount Auburn Street, Cambridge, MA 02138

TYPE OF ORGANIZATION:

- ☒ [X] UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
- ☐ [] TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c) (3))
- ☐ [] NONPROFIT SCIENTIFIC OR EDUCATION UNDER STATUTE OR STATE OF THE UNITED STATES OF AMERICA
(NAME OF STATE)
(CITATION OF STATUTE)
- ☐ [] WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c) (3) IF LOCATED IN THE UNITED STATES OF AMERICA
- ☐ [] WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATION UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
(NAME OF STATE)
(CITATION OF STATUTE)

I hereby declare that the nonprofit organization identified above
qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for
purposes of paying reduced fees under section 41 (a) and (b) of Title 35,
United States Code with regard to the invention entitled IDENTIFICATION
OF SELF AND NON-SELF ANTIGENS IMPLICATED IN AUTOIMMUNE DISEASE by
inventor(s), Jack L. Strominger and Kai W. Wucherpennig, described in

- ☐ [] the specification filed herewith
- ☒ [X] application serial no. 08/400,796, filed March 7, 1995
- ☐ [] patent no. , issued

I hereby declare that rights under contract or law have been conveyed to
and remain with the nonprofit organization with regard to the
above-identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

[X] no such person, concern, or organization
[] persons, concerns or organizations listed below*

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME

ADDRESS

[] INDIVIDUAL [] SMALL BUSINESS CONCERN [] NONPROFIT ORGANIZATION

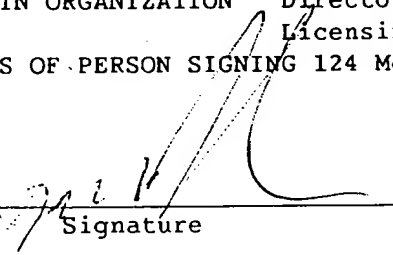
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Joyce M. Brinton

TITLE IN ORGANIZATION Director, Office of Technology and Trademark
Licensing

ADDRESS OF PERSON SIGNING 124 Mount Auburn Street, Cambridge, MA 02138


Signature


Date

Joyce Brinton, Director
Office for Technology and Trademark Licensing
Harvard University